

ATTACHMENT 1 TO SUPPLEMENT 1
TO ATTACHMENT 3.1-A
PART C

Case Management Services
ED Children/Youth

A. Target Group

For children under 18, child must have a diagnosis of emotional disturbance or neurological impairment and at least one of the following:

1. Serious emotional disturbance with duration of more than one year or projected to continue for more than one year
2. Developmental delay of more than two years documented by standardized tests
3. Needing services of more than two agencies
4. Needing more than two services from mental health agencies
5. Has been served in a psychiatric hospital or intensive residential program or needs such services

Case management under this proposal will not be provided to home and community based waiver participants.

Community case management transitional care activities can be performed for an institutionalized emotionally disturbed child/youth no more than 30 days prior to the estimated date of discharge. Activities performed by the community case manager shall not at any time duplicate the activities of the discharge planner for the institution.

D. Definition of Services

Medicaid case management services consists of a number of integrated components:

1. Assessment and periodic reassessment to determine types and amounts of services needed;
2. Consistent with SSA 1902(a)(23), the allocation of responsibilities for implementation and monitoring of the treatment/habilitation plan;
3. Consistent with SSA 1902(a)(23), establishment of separate and joint responsibilities among staff and service agencies involved in helping the individual;
4. Planning for need/problem resolution through the identification of an appropriate service network inclusive of all available resources; and
5. Monitoring and follow-up to ensure that services received are adequate for the client's needs.

TN. No. 92-16
Supersedes
TN. No. 87-13

Approval Date 7/13/92

Eff. Date 7/1/92

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E. Qualifications of Providers

Targeted Case Management Services will be limited to area mental health programs, entities under contract to area mental health programs, or entities certified by the State Division of Mental Health, Developmental Disabilities, and Substance Abuse Services as meeting the same standards as area mental health programs. This limitation is in accordance with OBRA '87, Section 4118(i), and is included in order to ensure that the case managers for this target population is capable of ensuring that such individuals receive needed services.

Case Managers are professionals whose education, skills, abilities and experience enable them to perform the activities that comprise Medicaid case management services.

Qualified case managers shall meet the following conditions:

- be employed by a qualified Medicaid case management provider;
- have at least a bachelor's degree from an accredited institution and two years in the human services field; or be a licensed R.N. with two years experience in public nursing or the human services field and be supervised by a qualified mental health professional as defined below; or
- be one of the following qualified mental health professionals.
 1. psychiatrist who is duly licensed to practice medicine in the State of North Carolina and who has completed an accredited training program in psychiatry.
 2. A psychologist who is licensed as a practicing psychologist under the provisions of G.S. 90-270.
 3. A psychiatric social worker who holds a Master's degree in social work from an accredited school of social work and has two years experience in mental health.
 4. A psychiatric nurse who is licensed to practice as a registered nurse in the State of North Carolina by the North Carolina Board of Nursing and:
 - a. is a graduate of an accredited Master's level program in psychiatric mental health nursing with two years experience; or
 - b. has a Master's degree in behavioral science with two years of supervised clinical experience; or
 - c. has four years of experience in psychiatric mental health nursing.

TN. No. 00-03
Supersedes
TN No. 87-13

Approval Date AUG 02 2000 EFF. Date 04/01/00

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5. Other qualified mental health professionals with a Master's degree in a related human service field and two years of supervised experience in mental health or child related services or an individual with a baccalaureate degree in related human service field and four years of supervised experience in mental health or child related services.
6. A qualified physician who is duly licensed to practice medicine in the State of North Carolina and has experience in the provision of medical services associated with mental health, mental retardation and substance abuse needs of clients.

Individuals who provide case management shall possess the knowledge, skills and attributes necessary for effective provision of a case management service. These include, but are not limited to: interviewing skills; negotiating skills; problem assessment; service planning; knowledge of community resources; sensitivity to the needs of persons being served.

TN. No. 87-13

APP.

MAR 03 1988

EFF. OCT 01 1987

Revision: HCFA-PM-87-4 (BERC)
MARCH 1987

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OMB No.: 0939-0193

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: North Carolina

CASE MANAGEMENT SERVICES
SUBSTANCE ABUSERS

A. Target Group: Substance Abusers who meet the criteria described in Attachment I.

B. Areas of State in which services will be provided:

☒ Entire State.

☐ Only in the following geographic areas (authority of section 1915(g)(1) of the Act is invoked to provide services less than Statewide:

C. Comparability of Services

☐ Services are provided in accordance with section 1902(a)(10)(B) of the Act.

☒ Services are not comparable in amount, duration, and scope. Authority of section 1915(g)(1) of the Act is invoked to provide services without regard to the requirements of section 1902(a)(10)(B) of the Act.

D. Definition of Services: Services are defined Attachment 1.

E. Qualification of Providers: Qualifications of providers are described in Attachment 1.

Revision: HCFA-PM-87-4 (BERC)
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F. The State assures that the provision of case management services will not restrict an individual's free choice of providers in violation of section 1902(a)(23) of the Act.

1. Eligible recipients will have free choice of the providers of case management services.
2. Eligible recipients will have free choice of the providers of other medical care under the plan.

G. Payment for case management services under the plan does not duplicate payments made to public agencies or private entities under other program authorities for this same purpose.

ATTACHMENT 1 TO SUPPLEMENT 1
OF ATTACHMENT 3.1-A
PART D

Case Management Services
Substance Abusers

A. Target Group

1. Individual must be Medicaid eligible; and
2. Must have a documented need for assistance with two or more of the following: educational, vocational, social, financial, physical health, residential, recreational, or basic life skills; and

3.1 For Adult Patients with a Substance Abuse Disorder:

- (a) The patient is over 21 years of age; and
- (b) The patient's medical or case management record shall contain documented need for assistance with two or more of the following: educational, vocational, social, communication, financial, physical health, residential, recreational or basic life skills; and
- (c) In addition to criteria (a) and (b), the patient shall meet one of the following:
 - (i) Diagnosis of alcohol or other drug addiction included in ICD-9-CM classification; or
 - (ii) Diagnosis of alcohol or other drug abuse included in ICD-9-CM classification and at least one inpatient or residential placement for detoxification or treatment of the abuse disorder.

3.2 For Youth Patients with a Substance Abuse Disorder:

- (a) The patient is under 21 years of age; and

(b) The patient has a diagnosis of alcohol or other drug abuse or addiction included in ICD-9-CM classification and at least one of the following:

- (i) Serious behavior problems with a duration of more than one year or projected to continue for more than one year; or
- (ii) Needs more than two services from mental health or substance abuse agencies; or
- (iii) Has been served in a hospital or residential treatment setting or needs such services.

4. Case management under this proposal will not be provided to home and community based waiver participants nor institutionalized persons.

D. Definition of Services

Case Management services include:

- 1. Assessment and periodic reassessment, to determine types and amounts of services needed;
- 2. Development and implementation of an individualized case management service plan;
- 3. Consistent with SSA 1902(a)(23), identification of all available resources for problem resolution;
- 4. Consistent with SSA 1902(a)(23), coordination and assignment of responsibilities among staff and service agencies; and
- 5. Monitoring and follow-up to ensure that services are received and are adequate for the client's needs.

Case management encourages use of available sources of help from within the family and community so that the burden of care will not be borne exclusively by public social agencies.

E. Qualifications of Providers

Targetted Case Management Services will be limited to area mental health programs, entities under contract to area mental health programs, or entities certified by the State Division of Mental Health, Developmental Disabilities, and Substance Abuse Services as meeting the same standards as area mental health programs. This limitation is in accordance with OBRA '87 Section 4118(i) and is included in order to ensure that the case managers for this target population are capable of ensuring that such individuals receive needed services.

Case managers are professionals whose education, skills, abilities, and experience enable them to perform the activities that comprise Medicaid case management services.

Qualified case managers shall meet the qualifications in (a), (b), or (c).

(a) The individual must be a qualified substance abuse professional as defined in APBM 35-1; that is an individual:

- . who is certified by the North Carolina Substance Abuse Professional Certification Board; or
- . who is a graduate of a college or university with a baccalaureate or advanced degree in a human service related field with documentation of at least two years of supervised experience in the profession of alcoholism and drug abuse counseling, and at least 80 percent of the qualified substance abuse professional's work experience must be in the profession of alcoholism and drug abuse counseling.

ATTACHMENT 1 (Cont'd)
PART D

(b) The individual must be a qualified mental health professional as defined in APSM 35-1; such qualified professionals include:

- . A psychiatrist who is duly licensed to practice medicine in the State of North Carolina and who has completed an accredited training program in psychiatry.
- . A psychologist who is licensed as a practicing psychologist under the provisions of G.S. 90-270.
- . A psychiatric social worker who holds a Master's degree in social work from an accredited school of social work and has two years experience in mental health.
- . A psychiatric nurse who is licensed to practice as a registered nurse in the State of North Carolina by the North Carolina Board of Nursing; and
 - .. is a graduate of an accredited Master's level program in psychiatric mental health nursing with two years experience; or
 - .. has a Master's degree in behavioral science with two years of supervised clinical experience; or
 - .. has four years of experience in psychiatric mental health nursing.
- . Other qualified mental health professionals with a Master's degree in a related human service field and two years of supervised clinical experience in mental health services or an individual with a baccalaureate degree in related human service field and four years of supervised clinical experience in mental health services; or
- . A qualified physician who is duly licensed to practice medicine in the State of North Carolina and shall have experience in the provision of medical services associated with mental health, mental retardation and substance abuse needs of clients.

ATTACHMENT 1 (Cont'd)
PART D

(c) The individual must meet the education and experience listed below and must be supervised by a qualified substance abuse professional or a qualified mental health professional:

- . an individual with at least a bachelor's degree from an accredited institution and one year's experience in the human services field; or
- . a licensed R.N. with two years experience in public health nursing or the human services field.